

Substitute for form 1449/PTO  
(Revised 04/2003)

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number 10/644,632

Filing Date August 19, 2003

First Named Inventor A. David Shay

Group Art Unit 2131

Examiner Name \_\_\_\_\_

Attorney Docket Number 047322/268165

O I P E  
JUN 02 2004  
PATENT & TRADEMARK OFFICE

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
VP	1	US-2002/103916 A1	08-01-2002	Chen Benjie, et al.	
VP	2	US-6,363,489 B1	03-26-2002	Yeshum Yehezkel, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
VP	3	WO 02/061510 A	08-08-2002	Copeland, John A. III		
VP	4	CA 2,286,534 A	04-18-2001	American Gem Corp.		

OTHER DOCUMENTS				
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s) , volume-issue number(s), publisher, city and/or country where published.		English Language Translation Attached
VP	5	International Search Report Dated April 29, 2004, from Corresponding International Application No. PCT/US 03/36713, Filed November 17, 2003		
VP	6	ADOLFO RODRIGUEZ, JOHN GATRELL, JOHN KARAS, ROLAND PESCHKE, "TCP/IP Tutorial' And Technical Overview," Online, August 2001 (2001-08), IBM Internet XP002276979, retrieved from the Internet, URL: WWW.IBM.COM/READBOOKS, retrieved on 2003-05-21, page 1 – Page XVIII, page 665 – page 780.		
VP	7	HUNT, R., "Internet/Intranet Firewall Security-Policy, Architecture And Transaction Services," Computer Communications, Butterworths & Co. Publishers Ltd., GB, Vol. 21, No. 13, September 1, 1998 (1998-09-01), pages 1107-1123, XP004146571 ISSN: 0140-3664, page 111, right-hand column, page 1113, right-hand column, figures 2-4		

ATL01/11666868v1

Examiner Signature	/Venkat Perungavoor/	Date Considered	12/15/2006
-----------------------	----------------------	--------------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO (Revised 04/2003)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	To Be Assigned
				Filing Date	Concurrently Filed Herewith
				First Named Inventor	A. David Shay
				Group Art Unit	2131
				Examiner Name	To Be Assigned
Sheet	1	of	3	Attorney Docket Number	047322/268165

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
VP	1	US-5,796,942 B1	08-18-1998	Esbensen.	
VP	2	US-5,802,178 B1	09-01-1998	Holden, et al.	
VP	3	US-5,872,847 B1	02-16-1999	Boyle, et al.	
VP	4	US-6,219,786 B1	04-17-2001	Cunningham, et al.	
VP	5	US-6,275,942 B1	08-14-2001	Bernhard, et al.	
VP	6	US-6,279,113 B1	08-21-2001	Vaidya	
VP	7	US-6,317,831 B1	11-13-2001	King	
VP	8	US-6,363,489 B1	03-26-2002	Comay, et al.	
VP	9	US-6,370,648 B1	04-09-2002	Diep	
VP	10	US-6,408,391 B1	06-18-2002	Huff, et al.	
VP	11	US-2001/0023482 A1	09-20-2001	Wray	
VP	12	US-2001/0054159 A1	12-20-2001	Hoshino	
VP	13	US-2002/0029337 A1	03-07-2002	Sudia, et al.	
VP	14	US-2002/0078202 A1	06-20-2002	Ando, et al.	
VP	15	US-2002/0078354 A1	06-20-2002	Sandhu, et al.	
VP	16	US-2002/0083343 A1	06-27-2002	Crosbie, et al.	
VP	17	US-2002/0087882 A1	07-04-2002	Schneier, et al.	
VP	18	US-2002/0107953 A1	08-08-2002	Ontiveros, et al.	
VP	19	US-2002/0112185 A1	08-15-2002	Hodges	
VP	20	US-2002/0129264 A1	09-12-2002	Rowland, et al.	
VP	21	US-2002/0133586 A1	09-19-2002	Shanklin, et al.	
VP	22	US-2002/0133698 A1	09-19-2002	Wank	
VP	23	US-2002/0133721 A1	09-19-2002	Adjaoute	
VP	24	US-2002/0136407 A1	09-26-2002	Denning, et al.	

Examiner Signature	/Venkat Perungavoor/	Date Considered	12/15/2006
--------------------	----------------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO (Revised 04/2003)		<b>Complete if Known</b>			
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		Application Number	To Be Assigned		
		Filing Date	Concurrently Filed Herewith		
		First Named Inventor	A. David Shay		
		Group Art Unit	2131		
		Examiner Name	To Be Assigned		
Sheet	2	of	3	Attorney Docket Number	047322/268165

OTHER DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
VP	25	BARHAM, et als., "Techniques for Lightweight Concealment and Authentication in IP Networks," Intel Research Berkeley, July 2002, pgs.1-12, IRB-TR-02-009, Intel Corp., U.S.A.	
VP	26	PHIFER, "Cost-Effective Remote End Point Protection: Against Trojans, Spyware, and Other Pests," PestPatrol White Paper, 9/01/2002, pgs. 1-11	
VP	27	SUNGARD, "Welcome to the Info Security Performance Model," SunGard Assessment Tool, 9/01/2002, pgs. 1-2	
VP	28	WESTBRIDGE, "XML Web Services Security - Going Production," Westbridge White Paper, 9/01/2002, pgs. 1-7	
VP	29	TOP LAYER, "Stopping Attacks: The Importance of Denial of Service (DoS) Security Appliances," Top Layer White Paper, pgs. 1-13	
VP	30	INTERNET SECURITY, "Complete Desktop Protection for The Enterprise," ISS Technical White Paper, 9/01/2002, pgs. Cover, 1-6	
VP	31	INTERNET SECURITY, "Web Application Protection: Using Existing Protection Solutions," ISS Technical White Paper, 7/01/2002, pgs. Cover, 1-6	
VP	32	TOP LAYER, "Preventing Cyber Attacks and Costly Network Degradation," Top Layer Webcast, 5/30/2002, pgs. 1-13 (slides); pgs 1-15- (transcript)	
VP	33	OKENA, "A Primer on Preventing Attacks at the Host - OKENA, Inc. - Hurwitz Report," Hurwitz Analyst White Paper, 2/01/2002, pgs. 1-11	
VP	34	BLUE LANCE, "Protecting Computer-Managed Assets: Building a Secure Infrastructure for Business," Larstan Business Reports, 1/01/2002, pgs. 1-10	
VP	35	OKENA, "Technology Best Practices for Intrusion Prevention," Okena Analyst White Paper, 1/01/2002, pgs. 1-3	
VP	36	Computel, "Distributed Denial of Service Attacks," Network Security White Papers, 12/06/2000, pgs. 1-6	

Examiner Signature	/Venkat Perungavoor/	Date Considered	12/15/2006
--------------------	----------------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO (Revised 04/2003)  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	To Be Assigned		
		Filing Date	Concurrently Filed Herewith		
		First Named Inventor	A. David Shay		
		Group Art Unit	2131		
		Examiner Name	To Be Assigned		
Sheet	<b>3</b>	of	3	Attorney Docket Number	047322/268165

OTHER DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
VP	37	LEITHAUSER, "Fire Guardian," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-2	
VP	38	LOCKSTEP, "WebAgain—Automatic Web Site Repair," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-4	
VP	39	KOBELT, "NetSentron, KnowledgeStorm Solution Detail Report, 2002, pgs. 1-3	
VP	40	INTERNET SECURITY, "RealSecure® SiteProtector for Enterprise Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
VP	41	INTERNET SECURITY, "BlackICE PC Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
VP	42	INTERNET SECURITY, "System Scanner®," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
VP	43	INTERNET SECURITY, "Internet Scanner™," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-6	
VP	44	DYNAMICS, "WebInspect™ (Web Application Security Assessment)," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	

ATL01/11489192v1

Examiner Signature	/Venkat Perungavoor/	Date Considered	12/15/2006
--------------------	----------------------	-----------------	------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.